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FEB 22 2006

PATENT

Docket No.: P-10289.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: Kokones, et al.
Patent No.: 6,970,747
Issued: November 29, 2005
For: Neurostimulation Lead Stylet Handle

REQUEST TO CORRECT ASSIGNEE UNDER 37 CFR 3.81(b)

Attn: Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

In response to the denied Request for Certificate of Correction mailed February 7, 2006 and in accordance with 37 CFR 3.81(b), Applicant hereby requests to correct the Assignee.

In accordance with the Manual of Patent Examining Procedures (M.P.E.P.) Chapter 1400, Section 1481:

- (A) Please charge the \$130.00 petition fee required by 37 CFR 1.17(h) to Deposit Account 13-2546;
- (B) Applicant requests that 37 CFR 3.81(a) be waived to permit the correct name of the Assignee to be provided after issuance of the patent;
- (C) Applicant asserts that the failure to include the Assignee information on PTOL-85b was inadvertent; and
- (D) A copy of the Notice of Recordation of Assignment Document is attached showing that the Assignment to Medtronic, Inc. was recorded on January 17, 2002 on Reel 012499, Frame 0914.

02/24/2006 EFL0RES 00000015 132546 10045553
01 FC:1464 130.00 DA

02/24/2006 EFL0RES 00000016 132546 10045553
01 FC:1464 130.00 DA

FEB 22 2006

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/03/2004,
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL
For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete If Known

| | |
|----------------------|---------------------|
| Application Number | 10/045,553 |
| Filing Date | January 11, 2002 |
| First Named Inventor | Kokones |
| Examiner Name | Jeffrey B. Jastrzab |
| Art Unit | 3763 |
| Attorney Docket No. | P10289.00 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 13-2546 Deposit Account Name: Medtronic, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES**Fee Description**

| | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

| | | | |
|---------------------|---------------------|-----------------|----------------------|
| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|---------------------|---------------------|-----------------|----------------------|

| | | | |
|--------------|---|---|--|
| - 20 or HP = | x | = | |
|--------------|---|---|--|

HP = highest number of total claims paid for, if greater than 20.

| | | | |
|----------------------|---------------------|-----------------|----------------------|
| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|----------------------|---------------------|-----------------|----------------------|

| | | | |
|-------------|---|---|--|
| - 3 or HP = | x | = | |
|-------------|---|---|--|

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| | | | | |
|---------------------|---------------------|---|-----------------|----------------------|
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|---------------------|---------------------|---|-----------------|----------------------|

| | | | | |
|---------|--------|--------------------------------|---|--|
| - 100 = | / 50 = | (round up to a whole number) x | = | |
|---------|--------|--------------------------------|---|--|

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Processing Fee to Correct Assignee**Fees Paid (\$)**
\$130.00**SUBMITTED BY**

| | | | | | |
|-------------------|-------------------------|--------------------------------------|---------|-----------|--------------|
| Signature | <i>John W. Albrecht</i> | Registration No. (Attorney/Agent) | 40,481 | Telephone | 764-505-0421 |
| Name (Print/Type) | John W. Albrecht | Date | 2-22-06 | | |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**Medtronic®**

Facsimile Cover Sheet

MEDTRONIC LAW DEPARTMENT - CONFIDENTIAL

To: United States Patent and
Trademark Office
Attn: Office of Petitions
Facsimile: ~~703-872-9306~~
(571) 273-8300
From: John W. Albrecht, Esq.
Telephone: 763-505-0429
Facsimile: 763-505-0411
Re: Our Ref. No. P10289.00

Date: February 22, 2006**Pages (Including cover page):** 6

Attached to this facsimile please find:

- Request to Correct Assignee under 37 CFR 3.81(b)
- Fee Transmittal
- Certificate of Correction
- Notice of Recordation of Assignment Document (Copy)

NOTICE

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ON THIS TRANSMITTAL FORM. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY KARLA WEIS AT 763-505-0421.

Best Available Copy

U.S. Patent No. 6,970,747
Attorney Docket No. P10289.00


If any other fee is required in connection with this Request, please charge such fee to
Deposit Account No. 13-2546.

In view of the foregoing, entry of the enclosed Certificate of Correction to show assignment
of the above-referenced patent to Medtronic, Inc. is respectfully requested and that the file be
forwarded to the Certificates of Correction Branch.

Respectfully submitted,

Date:

2-22-06



John W. Albrecht
Registration No. 40,481
Medtronic, Inc.
710 Medtronic Parkway NE, M.S.: LC340
Minneapolis, MN 55432-5604
Telephone: 763-505-0429
Facsimile: 763-505-0411
Customer Number 27581

PTO/SB/44 (04-04)

Approved for use through 04/30/2007. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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(Also Form PTO-1050)**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 6,970,747

DATED : November 29, 2005

INVENTOR(S) : Scott B. Kokones
Shahn S. Sage

It is certified that error appears in the above-identified patent and that said Letters Patent
is hereby corrected as shown below:

Please add Assignee information to Title page:

Line (73): Medtronic, Inc., Minneapolis, MN (USA)

MAILING ADDRESS OF SENDER:

PATENT NO. 6,970,747

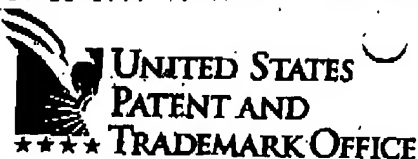
Medtronic, Inc.
710 Medtronic Parkway NE
Minneapolis, MN 55432

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



MARCH 21, 2002

PTAS

MEDTRONIC, INC.
ERIC R. WALDKOETTER
710 MEDTRONIC PARKWAY
M.S. LC340
MINNEAPOLIS, MN 55432

Chief Information Officer
Washington, DC 20231
www.uspto.gov



101962127A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/17/2002

REEL/FRAME: 012499/0914
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KOKONES, SCOTT B/

DOC DATE: 01/11/2002

ASSIGNOR:

SAGE, SHAHN S/

DOC DATE: 01/11/2002

ASSIGNEE:

MEDTRONIC, INC/
710 MEDTRONIC PARKWAY
M.S. LC340
MINNEAPOLIS, MINNESOTA 55432

SERIAL NUMBER: 10045553/

PATENT NUMBER:

FILING DATE: 01/11/2002/
ISSUE DATE:

JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECEIVED

MAR 28 2002

LAW DEPARTMENT
MEDTRONIC, INC.